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1617
U.S. PATENT & TRADEMARK OFFICE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Santoro et al.

Application No.: 09/937,322

Filed: September 21, 2001

ATTN: Derek Woods

For: CHEMICAL COMPOUNDS AND THEIR USES

Attorney Docket No.: 10167-013-999

**COMMUNICATION REGARDING THE FILING OF PETITION TO WITHDRAW
HOLDING OF ABANDONMENT AND ACCOMPANYING DOCUMENTS**

Assistant Commissioner for Patents
Washington, D.C. 20231

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Sir:

In a teleconference on March 7, 2003, Examiner Woods informed attorneys for Applicants that the United States Patent and Trademark Office ("USPTO") had no record in its files that the documents below had been filed on July 18, 2002 in the USPTO, in connection with the above-identified application:

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- (1) a Petition to Withdraw Holding of Abandonment;
- (2) a copy of Notice of Abandonment (Exhibit A);
- (3) a copy of a Transmittal in Response to Notification of Missing Requirements (Exhibit B);
- (4) a copy of a Declaration for Non-Provisional Patent Application executed by Maria Gabriella Santoro;

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being filed with the United States Patent and Trademark Office by facsimile transmission on March 7, 2003 to facsimile telephone number 703-308-6916.

Jennifer J. Chheda
Jennifer J. Chheda

46,617
(Reg. No.)

- (5) a copy of a Declaration for Non-Provisional Patent Application executed by Stanley Michael Roberts;
- (6) a copy of a Declaration for Non-Provisional Patent Application executed by Thierry Guyot;
- (7) a copy of a Notification of Missing Requirements Under 35 U.S.C. § 371 in the United States Designated/Elected Office;
- (8) a copy of a Pennie & Edmonds' log of Express Mail Certification for December 20, 2001;
- (9) a copy of a Post-Card Receipt, which on one side had an itemized list of the papers mailed on December 20, 2001, and Express Mail Label No. EL 477 033 139 US, which was returned to applicant's attorneys stamped received by the USPTO evidencing receipt by the USPTO of the above documents filed on December 20, 2001; and
- (10) a copy of a return postcard, which on one side had an itemized list of the papers mailed on July 18, 2002, and Express Mail Label No. EL 477 037 498 US.

Examiner Woods requested that Applicants send a copy of the above-identified documents (*i.e.*, documents (1) through (10)), along with evidence demonstrating that the documents had in fact been filed in the USPTO on July 18, 2002.

In response, Applicants have attached herewith, as Exhibit 1, a courtesy copy of each of the above-identified documents (*i.e.*, documents (1) through (10)) filed on July 18, 2002 in the USPTO using the "Express Mail Post Office to Addressee" service under Express Mail Label No. EL 477 037 498

In support of the fact that documents (1) through (10) were deposited with the United States Postal Service on July 18, 2002 using the "Express Mail Post Office to Addressee" service under Express Mail Label No. EL 477 037 498 US and thus, in accordance with 37 C.F.R. § 1.10(a) timely filed in the USPTO, enclosed herewith is a true

copy of Express Mail Label No. EL 477 037 498 US (attached hereto as Exhibit 2) showing the Post Office annotations "date-in" as July 18, 2002 and the "time-in" as 18:27. Also enclosed is a copy of a Post-Card Receipt which was returned to applicant's attorneys stamped received by the USPTO (attached hereto as Exhibit 3) evidencing receipt by the USPTO of the above listed documents.

As demonstrated by the above-mentioned facts and accompanying Exhibits, Applicants timely filed the Petition to Withdraw Holding of Abandonment on July 18, 2002 and timely and fully responded to the Notification of Missing Requirements 35 U.S.C. § 371 in the United States Designated/Elected Office mailed October 30, 2001. Accordingly, Applicants respectfully request that the USPTO correct its record to reflect the fact that Applicants timely filed the Petition to Withdraw Holding of Abandonment on July 18, 2002 and timely and completely responded to the Notification of Missing Requirements 35 U.S.C. § 371 in the United States Designated/Elected Office mailed October 30, 2001. Further, Applicants respectfully request that this communication and the above-identified documents be entered into the file of this application. The Examiner is invited to contact the undersigned with any questions concerning the foregoing.

It is believed that there is no fee required for filing this communication since this filing arises as a result of an error by the USPTO. However, if payment of a fee is required, please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date: March 7, 2003

Anthony M. Insogna 35,203
Anthony M. Insogna (Reg. No.)
PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Attachments

By: Jennifer J. Chede
Reg No. 46,617

EXHIBIT 1

EXPRESS MAIL NO.: EL 477 037 498 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Santoro et. al.

Serial No.: 09/937,322

Attn: Mamie P. Person

Filed: September 21, 2001

Attorney Docket No.: 10167-013-999

For: CHEMICAL COMPOUNDS AND THEIR
USES

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TECH CENTER 1600/2900

**PETITION TO WITHDRAW HOLDING OF ABANDONMENT
UNDER 37 C.F.R. § 1.181(a)**

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Box DAC
Assistant Commissioner for Patents
Washington, D.C. 20231

MAR 07 2003

PETITIONS OFFICE

Sir:

Applicants hereby respectfully petition to withdraw a holding of abandonment as set forth in a Notice of Abandonment mailed on July 11, 2002 in connection with the above-identified application ("the '322 application") because the application was not abandoned in fact. If after due consideration, Applicants' request is denied, then Applicants petition to revive the '322 application under 37 C.F.R. § 1.137(b) as having been unintentionally abandoned.

A copy of the Notice of Abandonment ("Notice") issued by United States Patent and Trademark Office on July 11, 2002 is attached hereto (Exhibit A). The Notice asserts that a timely response to the Notification of Missing Requirements mailed October 30, 2001 was not received.

On October 30, 2001, the United States Patent and Trademark Office mailed a Notification of Missing Requirements Under 35 U.S.C. § 371 in the United States Designated/Elected Office in connection with the '322 application requiring submission of the oath or declaration of the inventors identifying the application by the international application number and the international filing date. The time for response was set to expire two (2) months from the date of the Notification of Missing Requirements, i.e., on December 30, 2001, extendible under 37 C.F.R. § 1.136 (a)(1) to April 30, 2002 if accompanied by a petition for extension of time and the fees set in 37 C.F.R. § 1.17 (a). In response to this Notification of

Missing Requirements, Applicants' representatives timely filed the following documents in the United States Patent and Trademark Office on December 20, 2001:

- (1) A Transmittal in Response to Notification of Missing Requirements;
- (2) a Declaration for Non-Provisional Patent Application executed by Maria Gabriella Santoro;
- (3) a Declaration for Non-Provisional Patent Application executed by Stanley Michael Roberts;
- (4) a Declaration for Non-Provisional Patent Application executed by Thierry Guyot; and
- (5) a copy of the Notification of Missing Requirements Under 35 U.S.C. § 371 in the United States Designated/Elected Office.

A copy of each of these documents is attached hereto as Exhibit B.

Further, in support of this assertion, enclosed is a copy of the Post-Card Receipt which was returned to Applicants' representatives stamped received by the United States Patent and Trademark Office on December 20, 2001, evidencing receipt by the United States Patent and Trademark Office of the listed document; and a copy of our log of Express Mail Certification for December 20, 2001, indicating that the response was deposited with the United States Postal Service on that date, using the "Express Mail Post Office to Addressee" service under Express Mail Label No. EL 477 033 139 US. A copy of each of these documents is attached hereto as Exhibit C.

Applicants respectfully contend that the Notice of Abandonment dated July 11, 2002 was issued in error and that the holding of abandonment should be withdrawn. As demonstrated by the above-mentioned facts and accompanying Exhibits, a complete response to the October 30, 2001 Notification of Missing Requirements Under 35 U.S.C. § 371 in the United States Designated/Elected Office was timely filed within the six month statutory period for response. Clearly, there was no abandonment in fact.

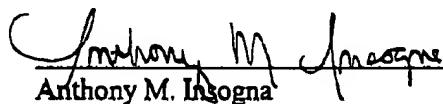
In the event that the United States Patent and Trademark Office does not agree with Applicants' position, Applicants petition for revival of the application under 37 C.F.R. § 1.137(b). The facts establish, and it is hereby stated, that abandonment was unintentional. As explained herein, a response to the Notification of Missing Requirements Under 35 U.S.C. § 371 in the United States Designated/Elected Office dated October 30, 2001 was timely filed (Exhibit B) and received by the United States Patent and Trademark Office (Exhibits B and

C). Consequently, if Applicants' request for withdrawal of abandonment is denied, this alternative request for revival under 37 C.F.R. § 1.137(b) should be granted.

It is believed that there is no fee required for Applicants' request for reconsideration, since the request arises as a result of an error by the United States Patent and Trademark Office. However, if payment of a fee is required, please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. In the event this paper is treated as a Rule 137(b) petition, please charge the required fee to the aforementioned deposit account. A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

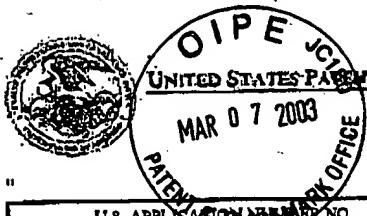
Date July 18, 2002


Anthony M. Insogna

35203

(Reg. No.)

PENNIE & EDMONDS LLP
1155 Avenue of the Americas)
New York, NY 10036
(212) 790-9090



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

| | | |
|-----------------------------|-----------------------|-------------------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 09/937,322 ✓ | Santoro | 10167-013-999 ✓ |
| D410 | | INTERNATIONAL APPLICATION NO. |
| | | PCT/GB00/01086 ✓ |
| LA. FILING DATE | | PRIORITY DATE |
| | | 03/22/2000 |

Anthony M. Insogna
Pennie & Edmonds
1155 Avenue of the Americas
New York, NY 10036-2711

Petition to Revive: 9/11/02TM

CONFIRMATION NO. 9500

371
ABANDONMENT/TERMINATION
LETTER

OC000000008431116

Date Mailed: 07/11/2002

NOTIFICATION OF ABANDONMENT

The United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has made the following determination:

- Applicant has failed to respond to the notification of MISSING REQUIREMENTS, mailed 10/30/2001 within the time period set therein.

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Therefore, the above identified application failed to meet the requirements of 35 U.S.C. 371 and 37 CFR 1.495, and is ABANDONED AS TO THE UNITED STATES OF AMERICA.

MAMIE P PERSON

Telephone: (703) 305-3737

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/909 (371 Abandonment Notice)

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

MAMIE P PERSON

Telephone: (703) 305-3737

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 09/937,322 | PCT/GB00/01086 | 10167-013-999 |

EXPRESS MAIL NO.: EL 477 033 139 US

PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Santoro et al.

Serial No.: 09/937,322

Group Art Unit: To Be Assigned

Filed: March 22, 2000

Examiner: To Be Assigned

For: CHEMICAL COMPOUNDS AND THEIR USES Attorney Docket No.: 10167-013-999

**TRANSMITTAL IN RESPONSE TO
NOTIFICATION OF MISSING REQUIREMENTS**

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

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MAR 07 2003

Sir:

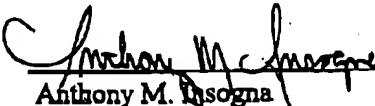
PETITIONS OFFICE

In response to the Notification of Missing Requirements Under 35 U.S.C. § 371 in the United States Designated/Elected Office, mailed October 30, 2001 (a copy of which is attached), Applicants submit herewith three counterparts of a Declaration For Non-Provisional Patent Application ("Declaration"), the first executed by Inventor Maria Gabriella Santoro, the second executed by Inventor Stanley Michael Roberts and the third executed by Inventor Thierry Guyot.

It is estimated that the fee for filing the Declarations for a small entity is \$65.00. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this paper is submitted herewith for accounting purposes.

Applicants respectfully request that the above-identified documents be made of record in the present application file.

Respectfully submitted,


 Anthony M. Pisogna 35.203
 (Reg. No.)

Date December 20, 2001

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Enclosures

NY2-12

Express Mail No.: EL 477 033 139 US

First Class Mail ()

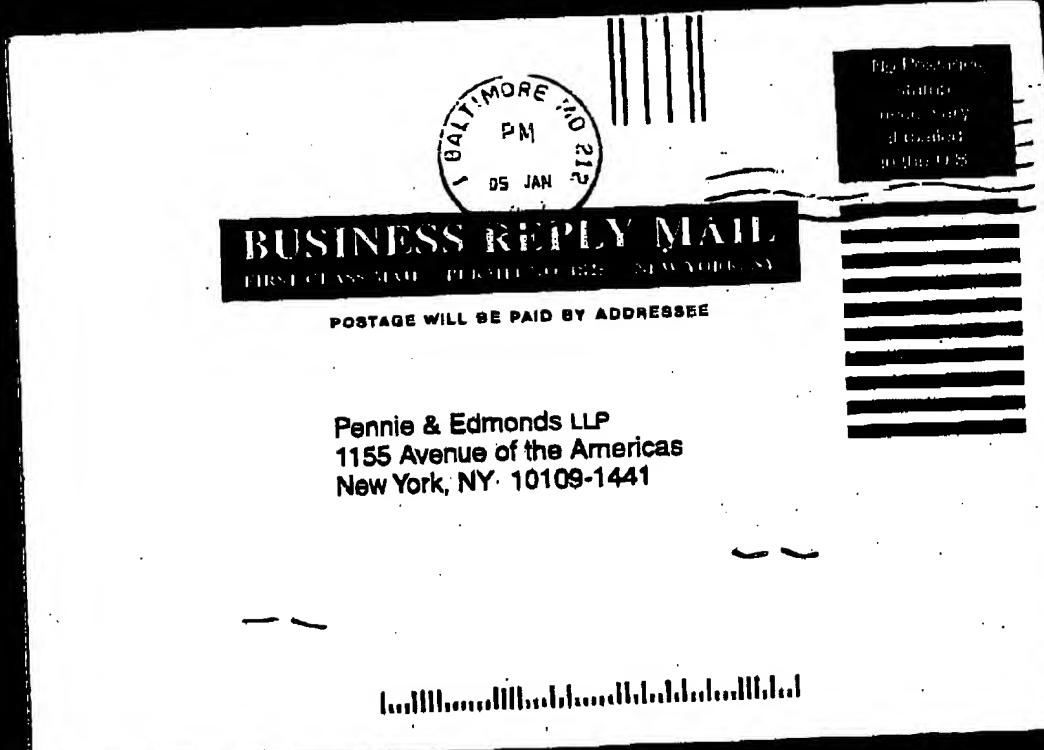
Date Mailed: December 20, 2001

DEC 20 2001

Serial No.: 09/937,322 Filed: March 22, 2000Inventor: Santoro et al.For: CHEMICAL COMPOUNDS AND THEIR USES*The stamp of the Patent Office hereon may be taken as an acknowledgement of the date stamped of the following:*

(X) Transmittal in Response to Notification of Missing Requirements;
(X) Declaration for Non-Provisional Patent Application Executed by Maria Gabriella Santoro;
(X) Declaration for Non-Provisional Patent Application Executed by Stanley Michael Roberts;
(X) Declaration for Non-Provisional Patent Application Executed by Thierry Guyot; and
(X) a copy of the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)

File No. 10167-013-999 Sender: AMT/IJC/NJD



Express Mail No.: EL 477 037 498 US First Class Mail ()

Date Mailed: July 18, 2002

Serial No.: 09/937,322 Filed: September 21, 2001

Inventor: Santoro et al.

For: CHEMICAL COMPOUNDS AND THEIR USES

The stamp of the Patent Office hereon may be taken as an acknowledgement of the date stamped of the following:

(X) Petition to Withdraw Holding of Abandonment;

(X) Copy of Notice of Abandonment, attached as Exhibit A;

(X) Copies of the following documents attached as Exhibit B:

- (1) Transmittal in Response to Notification of Missing Requirements;
- (2) Declaration for Non-Provisional Patent Application executed by María Gabriella Santoro;
- (3) Declaration for Non-Provisional Patent Application executed by Stanley Michael Roberts;
- (4) Declaration for Non-Provisional Patent Application executed by Thierry Guyot; and
- (5) Notification of Missing Requirements Under 35 U.S.C. § 371 in the United States Designated/Elected Office; and

(X) Copies of the following documents attached as Exhibit C:

- (1) Post-Card Receipt stamped received by the United States Patent and Trademark Office on December 20, 2001
- (2) Pennie & Edmonds' log of Express Mail Certification for December 20, 2001

File No. 10167-013-999 Sender: Insogna/DiCeglie

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EXHIBIT 2

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| POST OFFICE TO ADDRESSEE | | EXPRESS MAIL UNITED STATES POSTAL SERVICE™ |  *EL477037498US* |
| ORIGIN (POSTAL USE ONLY) | | | |
| PO ZIP Code 10036 | Day of Delivery <input type="checkbox"/> Mail <input checked="" type="checkbox"/> Second | Flat Rate Envelope 2420 | |
| Date In 2/15/02 | <input type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM | Postage \$ | |
| Time In 1827 | <input type="checkbox"/> Monday <input type="checkbox"/> Tuesday <input type="checkbox"/> Wednesday <input type="checkbox"/> Thursday <input type="checkbox"/> Friday <input type="checkbox"/> Saturday <input type="checkbox"/> Sunday | Return Receipt Fee | |
| Weight .5 | Int'l Alpha Country Code | DDU Fee | Insurance Fee |
| No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday | Acceptance Clerk Initials 15 | Total Postage & Fees \$ | |
| CUSTOMER USE ONLY METHOD OF PAYMENT: Express Mail Corporate Acct. No. Federal Agency Acct. No. or Postal Service Acct. No. | | <input type="checkbox"/> WAIVER OF SIGNATURE Domestic Only: Additional instructions: I want it written or signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent. If delivery employee judges that article can be left in secure location and I authorize that delivery employee's signature constitutes valid proof of delivery. <input type="checkbox"/> Monday <input type="checkbox"/> Tuesday <input type="checkbox"/> Wednesday <input type="checkbox"/> Thursday <input type="checkbox"/> Friday <input type="checkbox"/> Saturday <input type="checkbox"/> Sunday NO DELIVERY <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday <input type="checkbox"/> Customer Signature | |
| FROM: PLEASE PRINT) PENNIE & EDMONDS LLP 1155 AVENUE OF THE AMERICAS 17TH FL NEW YORK NY 10036-2711 | TO: PLEASE PRINT) ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON DC 20231-9999 | PHONE: _____ | PHONE: _____ |
| PRESS HARD You are reading a facsimile. FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.gov  | | | |

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Label 11-F July 1997

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MAR 07 2003

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Please consult your local Express Mail directory for noon and 3 p.m. delivery areas and for information on International and military Express Mail services. See the Domestic Mail Manual for details.

Insurance Coverage: Insurance is provided only in accordance with postal regulations in the Domestic Mail Manual (DMM) and, for International shipments, the International Mail Manual (IMM). The DMM and IMM set forth the specific types of losses that are covered, the limitations on coverage, terms of insurance, conditions of payment, and adjudication procedures. Copies of the DMM and IMM are available for inspection at any post office. If copies are not available and information on Express Mail insurance is requested, please contact postmaster prior to mailing. The DMM and IMM consist of federal regulations, and USPS personnel are NOT authorized to change or waive these regulations or grant exceptions. Limitations prescribed in the DMM and IMM provide, in part, that:

- The contents of Express Mail shipments defined by postal regulations as merchandise are insured against loss, damage, or lifting. Coverage up to \$500 per shipment is included at no additional charge. Additional merchandise insurance up to \$5000 per shipment may be purchased for an additional fee; however, additional insurance is void if waiver of the addressee's signature is requested.
- Coverage extends to the actual value of the contents at the time of mailing or the cost of repair, not to exceed the limit fixed for the insurance coverage obtained.
- Items defined by postal regulations as "negotiable items" (items that can be converted to cash without resort to forgery), currency, or bullion are insured up to a maximum of \$15 per shipment.
- For International Express Mail shipments, insurance coverage may vary by country and may not be available to some countries. Indemnity is not paid for items containing coins, banknotes, currency notes (paper money); securities of any kind payable to the bearer; traveler's checks; platinum, gold, and silver (manufactured or not); precious stones, jewelry, and other valuable or prohibited articles.
- Items defined by postal indemnity regulations as nonnegotiable documents are insured against loss, damage, or lifting up to \$500 per shipment for document reconstruction, subject to additional limitations for multiple pieces lost or damaged in a single catastrophic occurrence. Document reconstruction insurance provides reimbursement for the reasonable costs incurred in reconstructing duplicates of negotiable documents mailed. Document reconstruction insurance coverage above \$500 per shipment is NOT available, and attempts to purchase additional document insurance are void.
- No coverage is provided for consequential losses due to loss, damage, or delay of Express Mail, or for concealed damage, spoilage of perishable items, and articles improperly packaged or too fragile to withstand normal handling in the mail.

COVERAGE, TERMS AND LIMITATIONS ARE SUBJECT TO CHANGE. Please consult Domestic Mail Manual and International Mail Manual for additional limitations and terms of coverage.

Claims: Original customer receipt of the Express Mail label must be presented when filing an indemnity claim and/or for a postage refund.

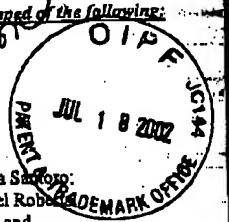
1. All claims for delay, loss, damage, or lifting must be made within 90 days of the date of mailing; for International, call 1-800-222-1B11.
2. Claim forms may be obtained and filed at any post office.
3. To file a claim for damage, the article, container, and packaging must be presented to the USPS for inspection. To file a claim for loss of contents, the container and packaging must be presented to the USPS for inspection. PLEASE DO NOT REMAIL. THANK YOU FOR CHOOSING EXPRESS MAIL.

Express Mail No.: EL 477 037 498 US First Class Mail ()
Date Mailed: July 18, 2002
Serial No.: 09/937,322 Filed: September 21, 2001
Inventor: Santoro et al.
For: CHEMICAL COMPOUNDS AND THEIR USES

The stamp of the Patent Office hereon may be taken as an acknowledgment of the date stamped of the following:

(X) Petition to Withdraw Holding of Abandonment;
(X) Copy of Notice of Abandonment, attached as Exhibit A;
(X) Copies of the following documents attached as Exhibit B:
(1) Transmittal in Response to Notification of Missing Requirements;
(2) Declaration for Non-Provisional Patent Application executed by Maria Gabriella Santoro;
(3) Declaration for Non-Provisional Patent Application executed by Stanley Michael Robe;
(4) Declaration for Non-Provisional Patent Application executed by Thierry Guyot; and
(5) Notification of Missing Requirements Under 35 U.S.C. § 371 in the United States Designated/Elected
Office; and
(X) Copies of the following documents attached as Exhibit C:
(1) Post-Card Receipt stamped received by the United States Patent and Trademark Office
on December 20, 2001
(2) Pennie & Edmonds' log of Express Mail Certification for December 20, 2001

File No. 10167-013-999 Sender: Insogna/DiCeglie



BUSINESS REPLY MAIL
FIRST CLASS MAIL - OVERSEAS AIR MAIL - AIR MAIL

POSTAGE WILL BE PAID BY ADDRESSEE

File No. 10167-013-999
Date: 18 JULY 2002
Priority: REGULAR
Country: UNITED STATES

Pennie & Edmonds LLP
1155 Avenue of the Americas
New York, NY 10109-1441



~~Rec'd PCT/ATC 07 MAR 2003~~

| | | |
|-----------------------------|-----------------------|-------------------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 09/937,322 | Santoro | 10167-013-999 |
| | | INTERNATIONAL APPLICATION NO. |
| | | PCT/GB00/01086 |
| I.A. FILING DATE | | PRIORITY DATE |
| 03/22/2000 | | |

Anthony M. Insogna
 Pennie & Edmonds
 1155 Avenue of the Americas
 New York, NY 10036-2711

*Oath or Declaration
 10/30/01*

Date Mailed: 10/30/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination
- Small Entity Statement

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and International filing date.

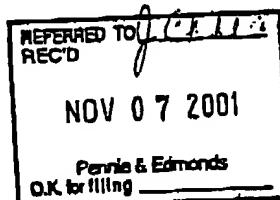
ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (WHERE 37 CFR 1.495 APPLIES) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.138(a).

FAX RECEIVED

MAR 07 2003

PETITIONS OFFICE



Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

MAMIE P PERSON

Telephone: (703) 305-3737

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 09/937,322 | PCT/GB00/01086 | 10167-013-999 |

EL477033139US

DATE: DECEMBER 20, 2001

THE EXPRESS MAIL NUMBER OF THE DAY IS: EL 477 033 139 US

THE PERSON TAKING EXPRESS MAIL
TO THE POST OFFICE IS: ANTHONY ALI

EXPRESS MAIL CERTIFICATION

"Express Mail" label No. EL 477 033 139 US Date of Deposit DECEMBER 20, 2001 hereby certify that the below-listed papers or fees were inserted into a package that was addressed to the Assistant Commissioner For Patents, Washington, D.C. 20231 and was deposited by me with the United States Postal Service "Express Mail Post Office Addressee" service under 37 C.F.R. 1.10 on the date indicated above.

Signature Anthony Ali

Date: 11-20-01

| | | | | |
|--------------------|------------------------|--|-----------|--|
| HINO | 5868-018 <i>W/N</i> | AMENDMENT IN RESPONSE TO AN OA DATED 8/29/01 WITH TWO EXHIBITS, PETITION FOR THE EXT OF TIME OF ONE MONTH FROM 11/29/01 TO 12/29/01 WITH DUPLICATE | <i>OK</i> | |
| GAO | 8005-016 <i>W/N</i> | ISSUE FEE TRANSMITTAL COVER SHEET WITH COPY OF PART B | <i>OK</i> | |
| RENFREW | 9301-111 <i>W/N</i> | ISSUE FEE TRANSMITTAL COVER SHEET WITH COPY OF PART B, SUBMISSION OF LARGE ENTITY STATUS | <i>OK</i> | |
| CORUZZI CHHEDA | 6923-054 <i>W/N</i> | AMENDMENT IN RESPONSE TO AN OA DATED 6/20/01 WITH TWO EXHIBITS, PETITION FOR THE EXT OF TIME OF THREE MONTHS FROM 9/20/01 TO 12/20/01 WITH DUPLICATE | <i>OK</i> | |
| BALDWIN | 7969-089 <i>W/N</i> | RESPONSE TO NOTICE TO COMPLY WITH SEQUENCE RULES DATED 11/25/01, COPY OF ORIGINAL NOTICE, SUBSTITUTE SEQUENCE LISTING | <i>OK</i> | |
| ANTLER | 9301-037 <i>W/N</i> | ISSUE FEE TRANSMITTAL COVER SHEET WITH COPY OF PART B, SUBMISSION OF FORMAL DRAWINGS 8 SHEETS | <i>OK</i> | |
| FRIEBEL THOMANN | 9261-005 <i>W/N</i> | RESPONSE TO NOTICE TO FILE MISSING PARTS DATED 11/8/01, COPY OF ORIGINAL NOTICE, EXECUTED DECLARATION AND SMALL ENTITY STATEMENT | <i>OK</i> | |

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| CORUZZI TSANG CHENG | 8535-028 <i>b m copied 3/20/01 CWA</i> | AMENDMENT IN RESPONSE TO AN OA DATED 6/22/01 WITH TWO EXHIBITS, NOTICE OF APPEAL, PETITION FOR THE EXT OF TIME OF THREE MONTHS FROM 9/22/01 TO 12/22/01 WITH DUPLICATE | <i>IL</i> |
| ANTLER TSANG | 8449-081 <i>WMA</i> | SUPPLEMENTAL IDS WITH REVISED PTO FORM 1449 AND REFS CITED BY APPLICANT, SUBMISSION OF FORMAL DRAWINGS 1A TO 4B, AMENDMENT IN RESPONSE TO AN OA DATED 7/20/01 WITH FOUR EXHIBITS, PETITION FOR THE EXT OF TIME OF TWO MONTHS FROM 10/20/01 TO 12/20/01 WITH DUPLICATE | <i>IL</i> |
| INSOGNA CHHEDA DICEGLIE | 10167-013 <i>WMA</i> | RESPONSE TO NOTICE TO FILE MISSING REQUIREMENTS DATED 10/30/01, COPY OF ORIGINAL NOTICE, THREE DECLARATIONS | <i>IL</i> |
| PAINBERG SHENTOV | 7420-102- 888 <i>CWA</i> | PROVISIONAL PATENT APPLN TRANSMITTAL COVER SHEET, APPLN OF 17 PGS 3 CLAIMS 3 DRAWINGS | <i>IL</i> |
| POISSANT THOMANN | 8660-026 <i>WM</i> | RCE REQUEST TRANSMITTAL, IDS WITH PTO FORM 1449 AND REFS CITED BY APPLICANT | <i>IL</i> |
| CORUZZI TSANG RYAN | 10182-010 | PROVISIONAL PATENT APPLN TRANSMITTAL COVER SHEET, SEQUENCE LISTING WITH COMPUTER READABLE, APPLN OF 120 PGS 3 DRAWINGS | <i>IL</i> |
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6:10 PM
M. Ryan
Extracted
Patent Appl
U.S. 2000
Friday
2/13/04
2001

POST OFFICE
TO ADDRESSEE



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|--------------------------|---|-----------------------------------|--------------------------|
| ORIGIN (POSTAL USE ONLY) | | Day of Delivery | Flat Rate Envelope |
| PO Box | 1150 | <input type="checkbox"/> AM | <input type="checkbox"/> |
| Date | 12/20/01 | <input type="checkbox"/> PM | Postage |
| Time | 1:30 PM | <input type="checkbox"/> 12:00 PM | 3005 |
| AM | 1150 | <input type="checkbox"/> 1:00 PM | |
| PM | | <input type="checkbox"/> 2:00 PM | |
| Weight | 1 lb | <input type="checkbox"/> 3:00 PM | |
| No Delivery | <input type="checkbox"/> | <input type="checkbox"/> Return | Return Receipt # |
| Postage | <input type="checkbox"/> | <input type="checkbox"/> Day | |
| Delivery | <input type="checkbox"/> | <input type="checkbox"/> Night | |
| Address | Int'l Alpha Country Code: GGD Fee Insurance Fee | | |
| Signature | Accepting Party Initials Total Postage & Fees | | |
| Total Postage & Fees | | | |

SEE REVERSE SIDE FOR
SERVICE GUARANTEE AND LIMITS
ON INSURANCE COVERAGE

Customer Copy
Last 11F Apr 1997

| | | | |
|--------------------------------------|--|--|--|
| METHOD OF PAYMENT | | WAIVER OF SIGNATURE (Domestic Only) Additional confirmation signature is valid if written signature is required. | |
| Request Paid Certificate and No. | | <input type="checkbox"/> I wish delivery to be made without written signature of addressee or addressee's agent if delivery procedures permit. | |
| Final Agency Add. No. + Phone No. | | No Delivery <input type="checkbox"/> Standard <input type="checkbox"/> Night <input type="checkbox"/> Customer Signature | |

| | | | |
|---|--------------|---|--------------|
| FROM: PLEASE PRINT | PHONE: _____ | TO: PLEASE PRINT | PHONE: _____ |
| PENNIE & EDMOND LLP 1150 AVENUE OF THE AMERICAS 17TH FL NEW YORK NY 10019-3711 | | ASSISTANT COMMISSIONER PTO, PATENTS WASHINGTON, DC 20591-1000 | |
| PRESS HARD | | | |
| FOR PICKUP OR TRACKING CALL 1-800-222-1811 WWW.USPS.GOV | | | |